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Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
ER		WO 00/56015	09-21-2000	@Hoc Inc.		

Examiner Signature	Ramya Ananthanayagam	Date Considered 12/30/04
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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Substitute for Form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 2 of 2 Attorney Docket Number 003399.P042

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
RA		HARRINGTON, D., et al.: "An Architecture For Describing SNMP Management Frameworks", April 1999, XP-002241315, pgs. 1-62.	
RA		DAVIN, J., et al.: "SNMP Administrative Model", July 1992, XP-002272696, pgs. 1-35	
RA		WIJNEN, B., et al.: "View-Based Access Control Model (VACM) For The Simple Network Management Protocol (SNMP)", January 1998, XP-002272695, pgs. 1-36	

Examiner Signature	Ramya Ananthanarayanan	Date Considered	12/30/04
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Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English is not the primary language used in this application. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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Substitute for Form 1449/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>		Application Number	09/904,010
		Filing Date	7/11/2001
		First Named Inventor:	Bruce K. Martin, Jr.
		Art Unit	2131
		Examiner Name	Gail O. Hayes
Sheet	1	of	1
		Attorney Docket Number	3399P042

Sheet 1 of 1 Attorney Docket Number 3399P042

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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
EP		EP 1 043 905 A3	09/25/2002	Phone.Com Inc.		
PA		EP 1 043 906 A2	10/11/2000	Phone.Com Inc.		
PA		EP 1 043 906 A3	01/02/2002	Phone.Com Inc.		
RP		WO 98/56202	12/10/1998	Nokia Telecommunications OY		
RP		WO 00/46963	08/10/2000	Apion Telecoms Limited		
RP		WO 01/58110 A2	08/09/2001	Apion Telecoms Limited		
RP		WO 01/58110 A3	08/09/2001	Apion Telecoms Limited		
RP		WO 01/69891 A1	09/20/2001	Sonera OYJ		
RP		WO 01/69903 A1	09/20/2001	Sonera OYJ		

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Substitute for Form 1449A/PTO (Modified)

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Complete If Known

Application Number	09/904,010
Filing Date	July 11, 2001
First Named Inventor:	Bruce K. Martin, Jr.
Group Art Unit	2681
Examiner Name	Not yet assigned

Sheet 1 of 1 Attorney Docket Number 3399P042

U.S. PATENT DOCUMENTS

Exam. Initial ¹	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (If known)			
RA	C	2002/0068554	A1	Dusse	6-6-2002	RECEIVED
RA		2001/0032254	A1	Hawkins	10-18-2001	
RA		5,485,505		Norman et al.	1-16-1996	
NOV 11 2002		5,586,260		Hu	12-17-1996	NOV 08 2002
RA		5,603,084		Henry, Jr. et al.	2-11-1997	
RA		5,603,084	C1	Henry, Jr. et al.	6-5-2001	Technology Center 2600
RA		5,727,159		Kikinis	3-10-1998	
RA		5,732,074		Spaur et al.	3-24-1998	
RA		5,875,394		Daly et al.	2-23-1999	
RA		6,049,821		Theriault et al.	4-11-2000	
RA		6,065,120		Laursen et al.	5-16-2000	
RA		6,148,405		Liao et al.	11-14-2000	RECEIVED
RA		6,151,628		Xu et al.	11-21-2000	NOV 18 2002
RA		6,195,366	B1	Kayashima et al.	2-27-2001	
RA		6,195,546	B1	Leung et al.	2-27-2001	
RA		6,233,608	B1	Laursen et al.	5-15-2001	Technology Center 2100
RA		6,253,326	B1	Lincke et al.	6-26-2001	
RA		6,275,693	B1	Lin et al.	8-14-2001	
RA		6,343,323	B1	Kalpio et al.	1-29-2002	
RA		6,421,781	B1	Fox et al.	7-16-2002	

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Exam. Initial ¹	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴	Kind Code ⁵ (If known)				
RA		WIPO	WO 01/39526	A1	Motorola, Inc.	5-31-2001		

Examiner Signature	<i>Ramya Ananthanarayanan</i>	Date Considered	12/30/04
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		Office ³	Number ⁴	Kind Code ⁵ (If known)			

Examiner Signature	Ramji Anantharayanan	Date Considered 12/30/04	
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Examiner Signature	Ramya Aravindanayagam	Date Considered 2/28/04	
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Substitute for Form 1449A/PTO (Modified) (use as many sheets as necessary)		Attorney Docket No.: 3399P042	Application Number: 09/904,010
		First Named Inventor: Bruce K. Martin Jr.	RECEIVED DEC 05 2001 Technology Center 2600
		Filing Date: July 11, 2001	

U.S. PATENT DOCUMENTS					
Exam. Initial*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
		Number	Kind Code ² (If known)		
RA		5,742,905		Pepe et al.	4-21-1998
PA		5,809,415		Rossmann	9-15-1998
RA		5,841,764		Roderique et al.	11-24-1998
RA		5,848,064		Cowan	12-8-1998
RA		5,943,399		Bannister et al.	8-24-1999
RA		6,031,830		Cowan	2-29-2000
RA		6,138,009		Birgerson	10-24-2000
RA		6,138,158		Boyle et al.	10-24-2000
PA		6,195,547	B1	Corriveau et al.	2-27-2001

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		Office ³	Number ⁴	Kind Code ⁵ (If known)		
RA		EPO	0804045	A2	Telia AB	10-29-1997
PA		WIPO	97/13382		Northern Telecom Limited	4-10-1997
RA		WIPO	98/58506		Telefonaktiebolaget LM Ericsson	12-23-1998

Examiner Signature	<i>Ramya Anandarayanan</i>	Date Considered 12/30/04
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